

**NOTIFICATION FORM TR-1  
CONCERNING CHANGES IN**

**VOTING RIGHTS ATTACHED TO SHARES – ARTICLE 9 PARA. (1) AND (4) OF LAW 3556/2007  
AND FINANCIAL INSTRUMENTS – ARTICLE 11 OF LAW 3556/2007<sup>i</sup>**

1. Identity of the issuer or the issuer of underlying shares to which voting rights are attached<sup>ii</sup>: .....
2. Reason for the notification (please tick the appropriate box or boxes):
  - acquisition or disposal of voting rights
  - acquisition or disposal of financial instruments which may result in acquisition of shares already issued to which voting rights are attached
  - event changing the breakdown of voting rights
3. Full name/ corporate name of person(s) subject to the notification obligation<sup>iii</sup>:  
.....
4. Full name/ corporate name of shareholder(s) (if different from the person mentioned in point 3.)<sup>iv</sup>: .....
5. Date of the transaction and date on which the percentage of voting rights crosses or reaches a threshold, or a change equal or greater than 3% occurs<sup>v</sup>: .....
6. Threshold(s) that the person subject to the notification crosses or reaches or change equal to or greater than 3%:
7. Notified details:

<b>A) Voting rights attached to shares</b>								
Type of shares (state the ISIN CODE as well)	Situation previous to the triggering transaction <sup>vi</sup>			Resulting situation after the triggering transaction <sup>vii</sup>				
	Number of shares	Number of voting rights <sup>viii</sup>	% of voting rights	Number of shares	Number of voting rights <sup>ix</sup>		% of voting rights	
				Direct	Direct <sup>x</sup>	Indirect <sup>xi</sup>	Direct	Indirect
<b>SUBTOTAL A</b> (based on aggregate voting rights)								

<b>B) Financial Instruments</b>				
Resulting situation after the triggering transaction <sup>xii</sup>				
Type of financial instrument	Expiration Date <sup>xiii</sup>	Exercise/Conversion Period/ Date <sup>xiv</sup>	Number of voting rights that may be acquired if the instrument is exercised/converted	% of voting rights
<b>SUBTOTAL B</b> (in relation to all expiration dates)				

	<b>Resulting situation after the triggering transaction</b>	
	<b>number of voting rights</b>	<b>% of voting rights</b>
<b>Total (A+B)</b>		

8. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable<sup>xv</sup>:

Corporate name of controlled undertakings	Resulting situation after the triggering transaction <sup>xvi</sup>	
	Number of voting rights	% of voting rights
1		
2		
3		
<b>TOTAL</b>		

9. In case of proxy voting, where the proxy holder may vote at his/ her discretion:

.....

[*name of the proxy holder*] .....  
will cease to hold [*number*] ....  
of voting rights as of [*date*].....

10. Additional information:

.....

.....

.....

(Name/ corporate name of person(s) subject to the notification obligation)

.....

(Signature)<sup>xvii</sup>

.....

(Place)

.....

(Date)

**ANNEX TO THE NOTIFICATION FORM TR-1** xviii

a) Identity of the person or legal entity subject to the notification obligation:

Full name and father name / corporate name .....  
(including legal form for legal entities)

Registered office (for legal entities) .....

Contact address .....

Phone number .....

Contact person (for legal persons) .....

Other useful information .....

b) Identity of the notifier (if another person makes the notification on behalf of the person or entity mentioned in point a).:

Full name /corporate name .....

Contact address .....

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Phone number .....

Other useful information (e.g. functional relationship with the person or legal entity subject to the notification obligation)

c) Additional information

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## **Notes to the Form TR-1**

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<sup>i</sup> This form a) is to be sent to the issuer, or the issuer of the underlying shares in case of notification concerning the financial instruments of article 11 of Law 3556/2007 and b) is to be submitted, duly signed, to the Hellenic Capital Markets Commission, 1 Kolokotroni and Stadiou Str, Athens, GR 105 62 and to be addressed to the Department of public offerings and supervision of listed companies, Section of supervision and monitoring of listed companies, with the indication “notification of significant changes in voting rights according to Law 3556/2007”. The notification may be submitted by fax (fax no. +30 210 3377243). In the latter case the notification form shall be accompanied by cover page, signed by the sender, which shall include at least the name and other particulars of the sender, including a phone number and the number of the pages sent.

<sup>ii</sup> Either the full name of the legal entity or another method for identifying the issuer or the issuer of the underlying shares provided it is reliable and accurate.

<sup>iii</sup> This should be the full name / corporate name of (a) the shareholder; (b) the natural person or legal entity acquiring, disposing of or exercising voting rights in the cases provided for in Article 10 (b) to (h) of Law 3556/2007; (c) all the parties to the agreement referred to in Article 10 (a) of that Law, or (d) the holder of financial instruments entitled to acquire shares already issued to which voting rights are attached, as appropriate.

In relation to the transactions referred to in points (b) to (h) of Article 10 of Law 3556/2007, the following list is provided as indication of the persons who should be mentioned:

- in the circumstances foreseen in letter (b) of Article 10 of that Law, the natural person or legal entity that acquires the voting rights and is entitled to exercise them under the agreement and the natural person or legal entity who is transferring temporarily for consideration the voting rights;

- in the circumstances foreseen in letter (c) of Article 10 of that Law, the natural person or legal entity holding the collateral, provided the person or entity controls the voting rights and declares its intention of exercising them and natural person or legal entity lodging the collateral under these conditions;

- in the circumstances foreseen in letter (d) of Article 10 of that Law, the natural person or legal entity who has a life interest in shares if that person or entity is entitled to exercise the voting rights attached to the shares and the natural person or legal entity who is disposing of the voting rights;

- in the circumstances foreseen in letter (e) of Article 10 of that Law, the controlling natural person or legal entity and the intermediate controlled undertakings and provided it has a notification duty at an individual level under Article 9 letters (a) to (d) of Article 10 of that Law or under a combination of any of those situations, the controlled undertaking;

- in the circumstances foreseen in letter (f) of Article 10 of that Law, the deposit taker of the shares, if he can exercise the voting rights attached to the shares deposited with him at his discretion, and the depositor of the shares;

- in the circumstances foreseen in letter (g) of Article 10 of that Law, the natural person or legal entity that controls the voting rights;

- in the circumstances foreseen in letter (h) of Article 10 of that Law, the proxy holder, if he can exercise the voting rights at his discretion, and the shareholder who has given his proxy to the proxy holder.

- in the circumstances foreseen in letter (i) of Article 10 of that Law, the management companies described therein

<sup>iv</sup> Applicable in the cases provided for in Article 10 (b) to (h) of Law 3556/2007. This should be the full name of the shareholder who is the counterparty to the natural person or legal entity referred to in Article 10 of that Law except if the percentage of voting rights held by the shareholder is lower than the 5% threshold.

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v The date on which a threshold is crossed or reached or a change equal or greater than 3% occurs, is the date on which the acquisition, or the disposal or the possibility to exercise voting rights takes effect. For passive crossings, the date when the corporate event took effect..

vi Please refer to the situation disclosed in the previous notification. In case the situation previous to the triggering transaction was below the 5% threshold, please state "below minimum threshold". In case of change equal to or greater than 3%, the percentage possessed on the day before the triggering transaction should also be notified.

vii If the holding has fallen below the 5% threshold, it is not necessary to disclose the extent of the holding, but only that the new holding is below the 5% threshold. In the cases provided in article 10 letter (α) of Law 3556/2007, there should be no disclosure of individual holdings per party to the agreement unless a party individually crosses or reaches an Article 9 threshold. This applies upon entering into, introducing changes to or terminating an agreement

viii Direct and indirect.

ix In case of combined "direct holding" and "indirect holding" of shares with voting rights attached, please split the voting rights number and percentage into the direct and indirect columns. If there are no combined "direct and indirect" holdings, please put a "dash" in the relevant box.

x Voting rights attached to shares held by the notifying party (Article 9 of Law 3556/2007).

xi Voting rights held by the notifying party independently of any holding of shares (Article 10 of Law 3556/2007).

xii If the holding has fallen below the 5% threshold, it is not necessary to disclose the extent of the holding, but only that the new holding is below the 5% threshold.

xiii Date of expiration of the financial instrument i.e. the date when right to acquire shares ends.

xiv If the financial instrument has an exercise or conversion period, please specify this period. For example "once every 3 months starting from [date]....".

xv The notification should include the name(s) of the controlled undertakings through which the voting rights are held. The notification should also include the amount of voting rights and the percentage held by each controlled undertaking, insofar as individually the controlled undertaking holds a percentage of voting rights equivalent to or higher than the 5% threshold and insofar as the notification by the parent undertaking is intended to cover the notification obligations of the controlled undertaking.

xvi If the holding has fallen below the 5% threshold, it is not necessary to disclose the extent of the holding, but only that the new holding is below the 5% threshold.

xvii In case of legal entity ,the full name and the title of the signor should be stated.

xviii This annex is to be filed only with the Hellenic Capital Market Commission.

Please note that this translation is only for information purposes and in case of any differences between the Greek and the English text, the Greek text prevails.